

# The scaling of Exact and Approximate Ginsparg-Wilson Fermions

[W. Bietenholz, I.Hip, Nucl.Phys. B570 423(2000)]

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[C.Gattringer et al.(BGR collaboration):Nucl.Phys. B677,3(2004)]

[C.Gattringer et al., Phys. Rev. D79, 054501(2008)]

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# lattice fermions

- Naive fermions  $\rightarrow$  doubling
- Wilson fermions  $\rightarrow$  Chiral symmetry  $\times$
- Overlap fermions  $\rightarrow$  no doubler, Chiral
- discretization effect  $\rightarrow$  dispersion relation
- Numerical cost

# Chiral fermions on the Lattice

- Ginsparg-Wilson Relation(GWR)

$$\{D, \gamma_5\} = 2aD\gamma_5RD$$

- Neuberger's solution(Overlap fermions)

$$D_{ov} = \frac{1}{Ra} \left[ 1 - \frac{A}{\sqrt{A^\dagger A}} \right] \quad A = \mu - D_0$$

$D_0$ : massless Wilson Dirac-operator

# Hypercubic approximate Ginsparg-Wilson fermions(HF)

$$D_{x,y} = \gamma_\mu \rho_\mu (x - y) + \lambda (x - y)$$

$$\rho_\mu (x - y) = \rho_1 (\delta_{x+\hat{\mu},y} - \delta_{x-\hat{\mu},y}) + \rho_2 \sum_{\nu} (\delta_{x+\hat{\mu}+\hat{\nu},y} - \delta_{x-\hat{\mu}+\hat{\nu},y}) + \rho_3 \sum_{\nu,\rho} (\delta_{x+\hat{\mu}+\hat{\nu}+\hat{\rho},y} - \delta_{x-\hat{\mu}+\hat{\nu}+\hat{\rho},y})$$

$$+ \rho_4 \sum_{\nu,\rho,\sigma} (\delta_{x+\hat{\mu}+\hat{\nu}+\hat{\rho}+\hat{\sigma},y} - \delta_{x-\hat{\mu}+\hat{\nu}+\hat{\rho}+\hat{\sigma},y})$$

$$\lambda (x - y) = \lambda_0 \delta_{x,y} + \lambda_1 \sum_{\mu} (\delta_{x+\hat{\mu},y} + \delta_{x-\hat{\mu},y}) + \lambda_2 \sum_{\mu,\nu} (\delta_{x+\hat{\mu}+\hat{\nu},y} + \delta_{x-\hat{\mu}+\hat{\nu},y})$$

$$+ \lambda_3 \sum_{\mu,\nu,\rho} (\delta_{x+\hat{\mu}+\hat{\nu}+\hat{\rho},y} + \delta_{x-\hat{\mu}+\hat{\nu}+\hat{\rho},y}) + \lambda_4 \sum_{\mu,\nu,\rho,\sigma} (\delta_{x+\hat{\mu}+\hat{\nu}+\hat{\rho}+\hat{\sigma},y} + \delta_{x-\hat{\mu}+\hat{\nu}+\hat{\rho}+\hat{\sigma},y})$$

- Truncated Perfect HF(TP-HF)  
Perfect action[Nucl.Phys. B464,319]

- Chirally Optimized HF(CO-HF)  
 $\nu_{st} = \sum [D\gamma_5 D - \{D, \gamma_5\}]^2$

- Scaling optimized HF(SO-HF)  
tune in the free case

	TP-HF	CO-HF	SO-HF
$\rho^{(1)} := \rho_1(1, 0)$	0.30938846	0.30583220	0.334
$\rho^{(2)} := \rho_1(1, 1)$	0.09530577	0.09708390	0.083
$\lambda_0 := \lambda(0, 0)$	1.48954496	1.49090692	1.5
$\lambda_1 := \lambda(1, 0)$	-0.24477248	-0.24771369	-0.25
$\lambda_2 := \lambda(1, 1)$	-0.12761376	-0.12501304	-0.125
$\nu_{st}$	$3.008 \cdot 10^{-4}$	$1.006 \cdot 10^{-4}$	$65.518 \cdot 10^{-4}$

$$D(p) = \sum_{\mu} ip_{\mu} \gamma_{\mu} + \mathcal{O}(p^2) \quad \Rightarrow \quad \rho_1 + 2\rho_2 = \frac{1}{2} \quad \lambda_0 + 4(\lambda_1 + \lambda_2) = 0$$

# Eigenvalue spectra (free)

GWR

$$\{D, \gamma_5\} = 2aD\gamma_5RD$$

$$\gamma_5 D \gamma_5 = D^\dagger \quad (\gamma_5 - \text{hermiticity})$$

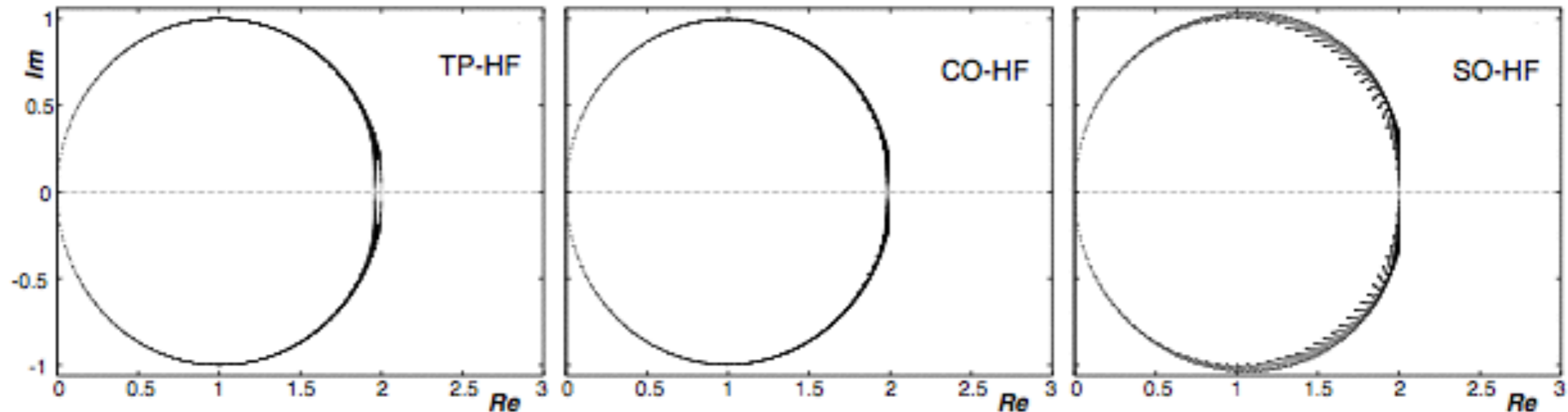
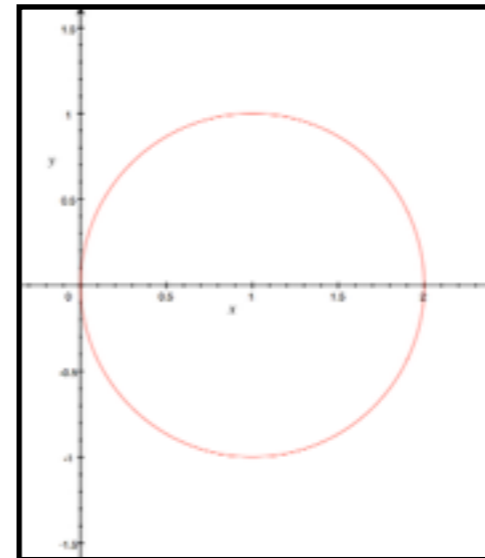


Figure 1: The free spectra of 3 hypercube fermions: truncated perfect (left), chirally optimized (center) and scaling optimized (right), on a  $100 \times 100$  lattice.

# dispersion relation (free)

evaluate a pole of  $D^{-1}(p)$

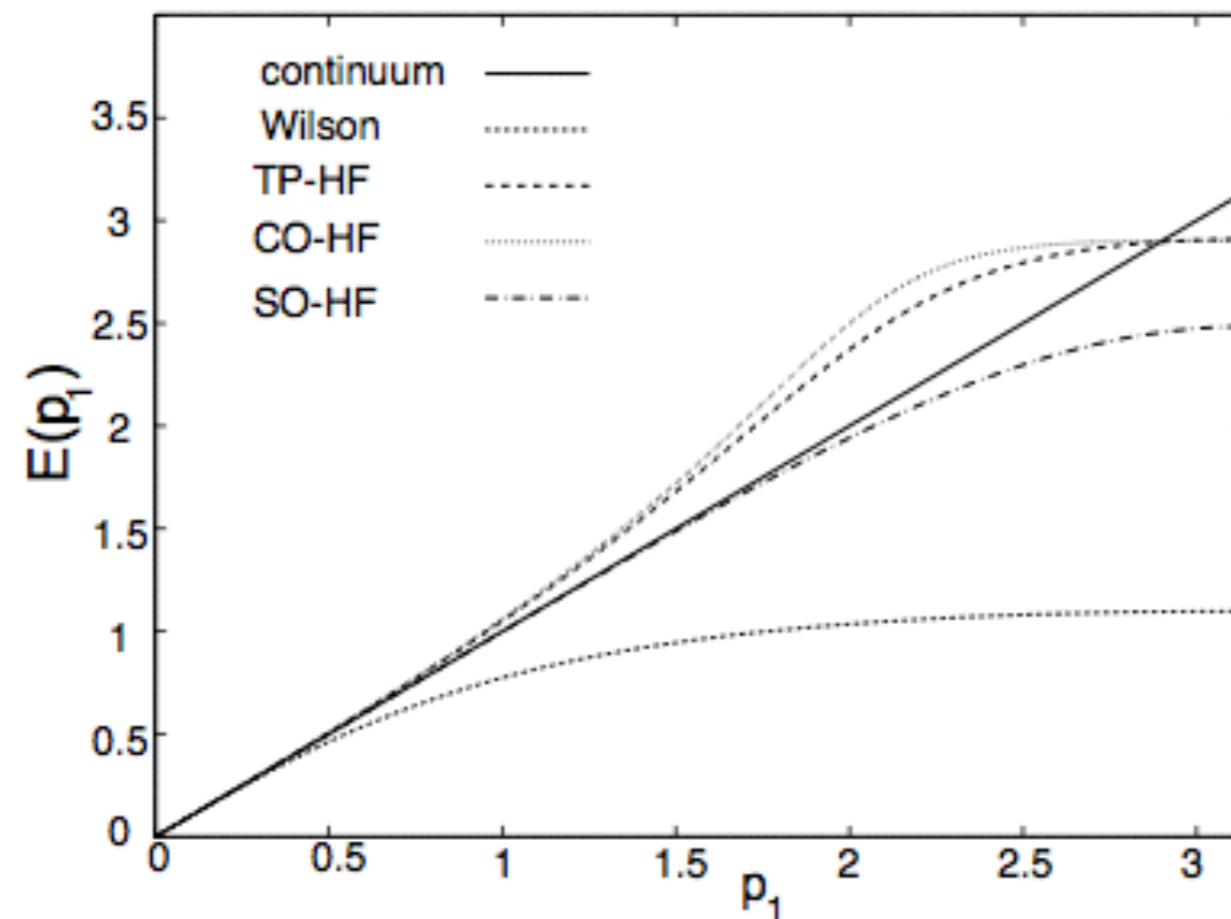


Figure 2: The dispersion relation for free hypercube fermions compared to the Wilson fermion and to the continuum. (The energies of all higher branches keep above 4.4.)

# Applications to (lattice) Schwinger model

- 2-dimensional QED(abelian gauge), 2 flavor
- Wilson or Hypercube + clover term

$$S_W = \sum_x \bar{\psi}_x \psi_x - \kappa \sum_{x,\mu} [\bar{\psi}_{x+\mu} (1 + \gamma_\mu) \psi_x + \bar{\psi}_x (1 - \gamma_\mu) \psi_{x-\mu}]$$

$$\kappa = \frac{1}{2(m + D)} \quad \gamma_1 = \sigma_1, \quad \gamma_2 = \sigma_2, \quad \gamma_3 = -i\gamma_1\gamma_2 = \sigma_3$$

$$S_{SW} = -\kappa c_{SW} \frac{i}{2} \sum_x F_{\mu\nu} \sigma_{\mu\nu} \bar{\psi}_x \psi_x$$

- quenched configurations  $V=16^2$ ,  $N_{\text{conf}}=5000$ ,  $c_{\text{sw}}=1$

# Meson Dispersion relation

$$\pi(p, t) = \sum e^{ipn} (\bar{u}(n, t) \sigma_1 u(n, t) - \bar{d}(n, t) \sigma_1 d(n, t))$$

$$\eta(p, t) = \sum_n e^{ipn} (\bar{u}(n, t) \sigma_1 u(n, t) + \bar{d}(n, t) \sigma_1 d(n, t))$$

$$\langle C(p, t) \bar{C}(0, 0) \rangle \rightarrow A e^{-E(p)} (1 + \mathcal{O}(e^{-at\Delta E}))$$

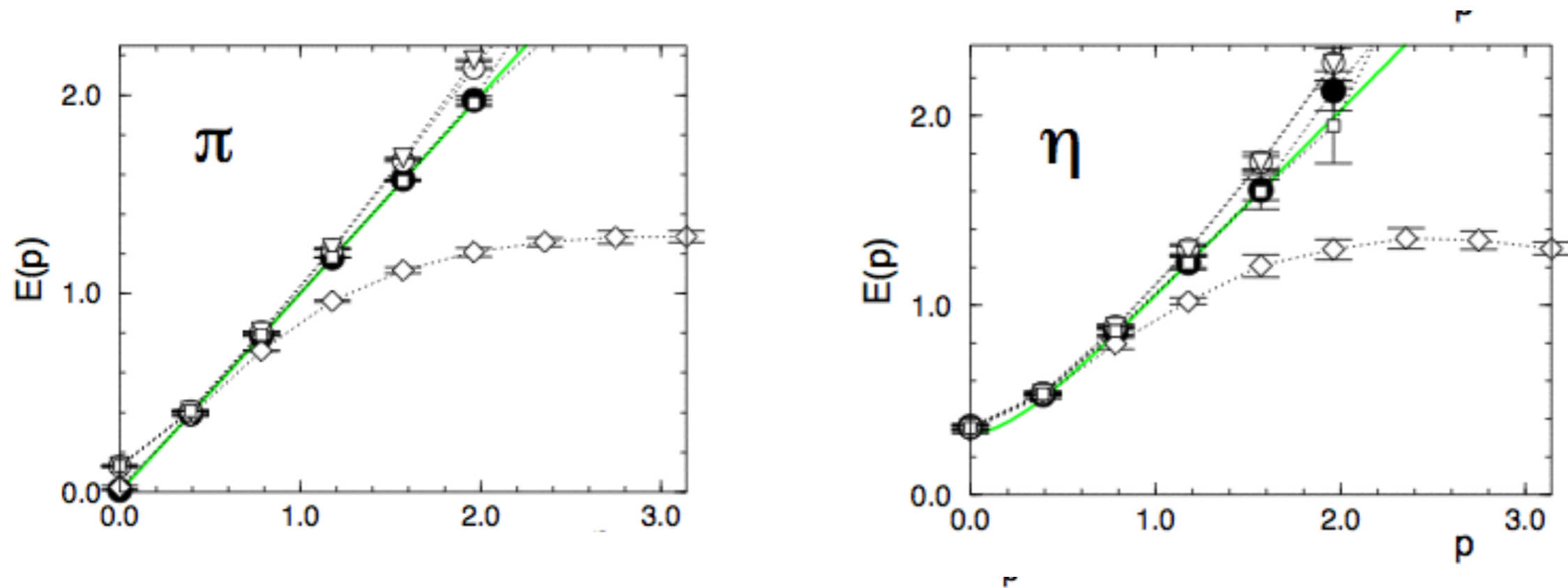


Figure 6: Meson dispersion relations at  $\beta = 6$ : Wilson fermion (diamonds), TP-HF (empty circles), CO-HF (triangles) and SO-HF (little boxes) — all the HF's with a clover term — compared to the FPA (filled circles) and the continuum (solid line).



# Spectra ( $\beta = 6$ )

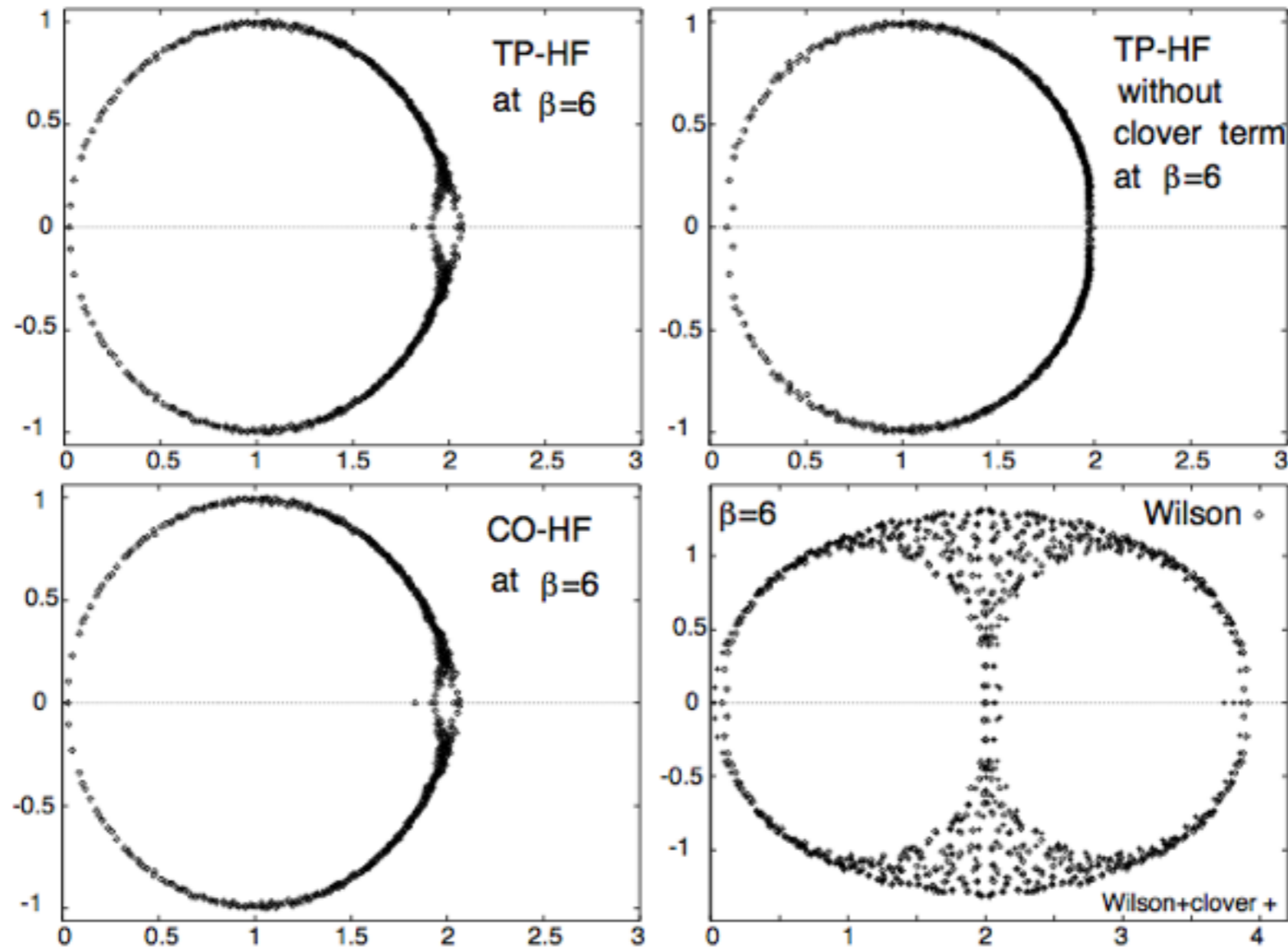


Figure 4: Spectra of different HF's for a typical configuration at  $\beta = 6$ , compared to the Wilson fermion with and without clover term. (For the SO-HF with the same configuration, see Fig. 10.) The clover term is always included in the HF actions if not stated differently. It has a negative impact in the region around 2, but it improves the more important region close to 0.

# Spectra ( $\beta = 4, 2$ )

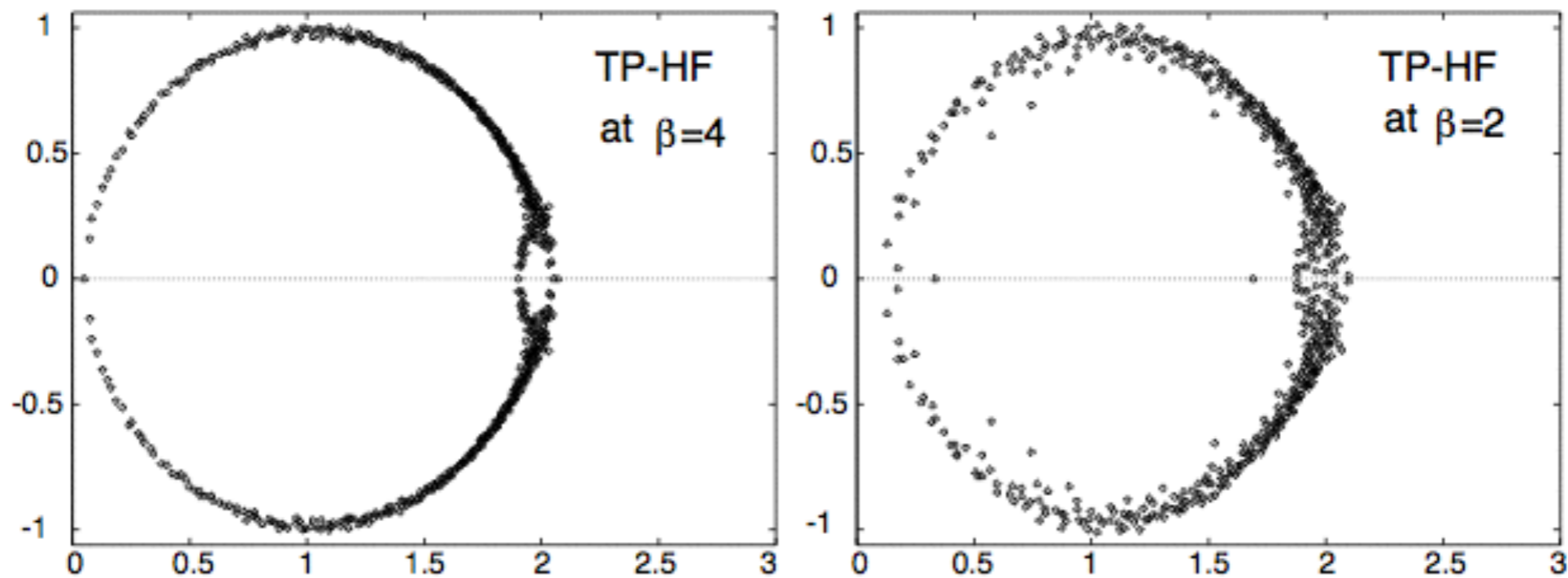


Figure 5: Typical spectra of the TP-HF at  $\beta = 4$  and  $\beta = 2$ . The results for the CO-HF and SO-HF are similar. Strong coupling amplifies the deviation from the unit circle, and in particular the mass renormalization.

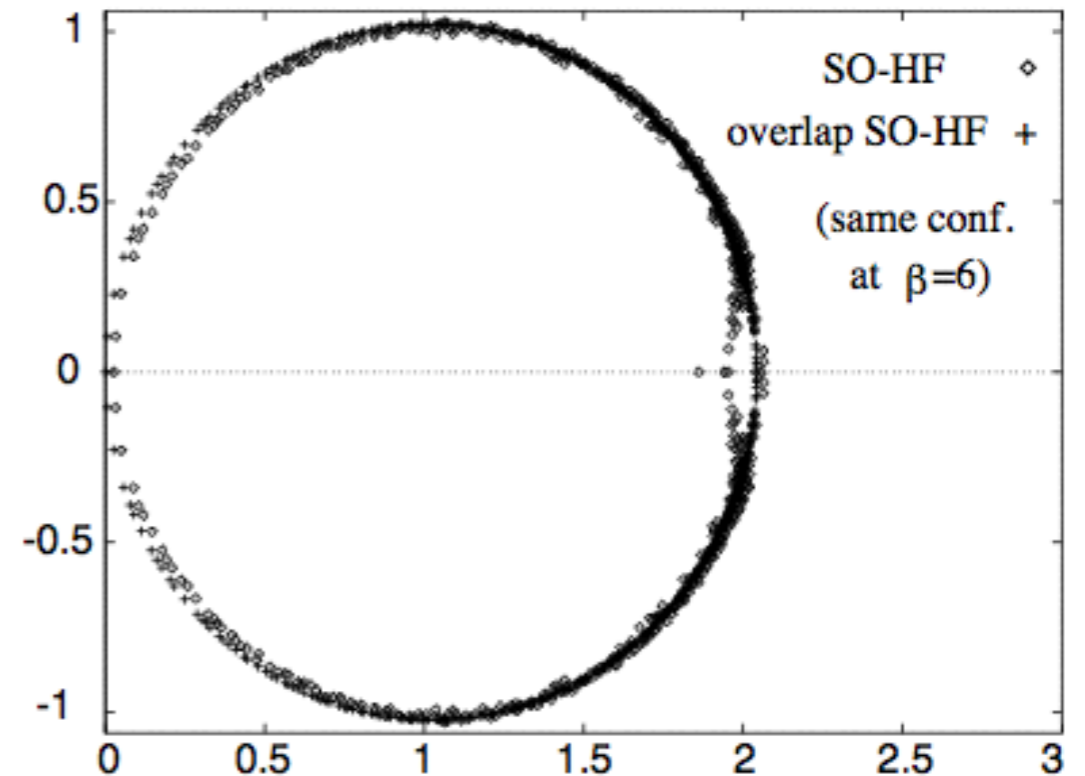
# Overlap formula

- reduce deviation from GWR
- HF  $\rightarrow$  Overlap formula with HF kernel

$$A = \mu - D_0 \rightarrow A_{HF} = \mu - D_{HF}$$

$$D_{ov} = \frac{1}{Ra} \left[ 1 + \frac{A}{\sqrt{A^\dagger A}} \right] \rightarrow D_{HFov} = \frac{1}{Ra} \left[ 1 + \frac{A_{HF}}{\sqrt{A_{HF}^\dagger A_{HF}}} \right]$$

$$\{D_{HFov}, \gamma_5\} = 2a D_{HFov} \gamma_5 R D_{HFov}$$



# overlap vs HF

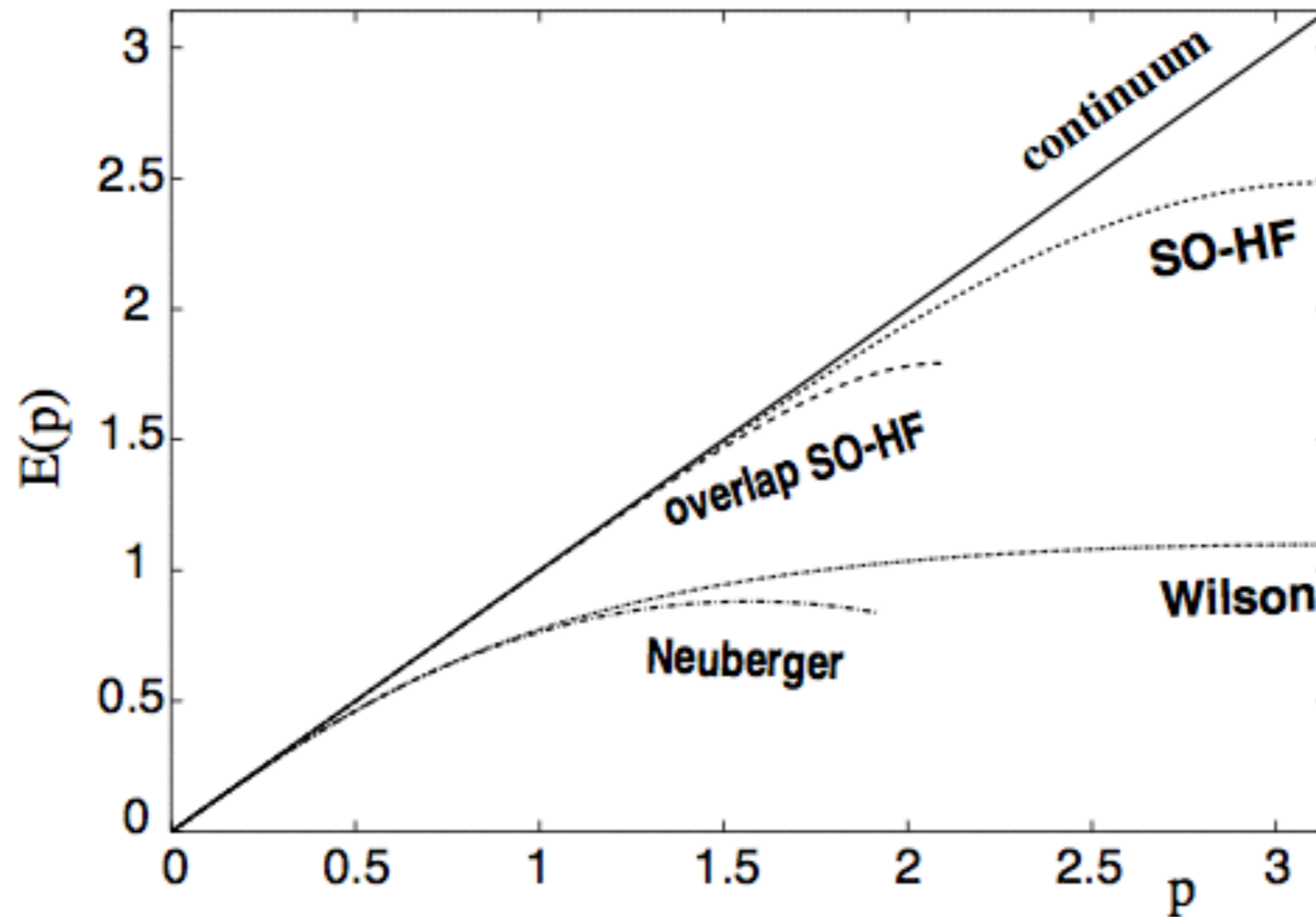


Figure 1. *Free fermion dispersion relations.*

# Meson Dispersion relation using overlap Dirac op

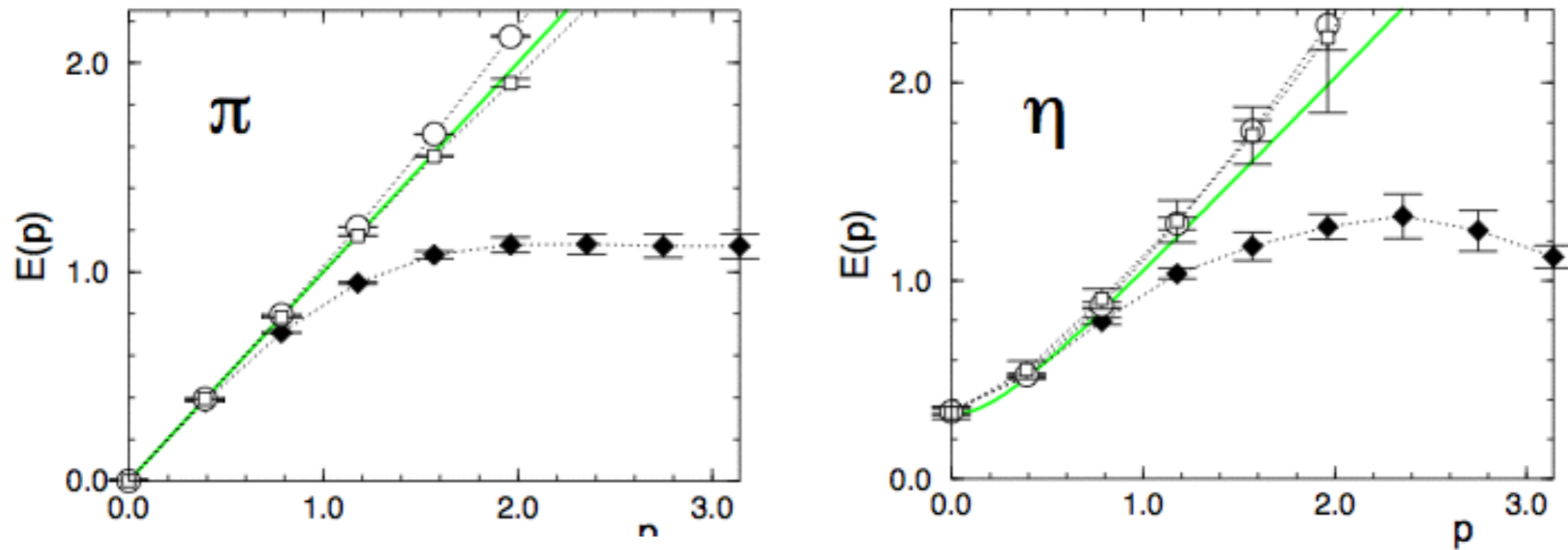


Figure 11: Meson dispersion relations at  $\beta = 6$ : Neuberger fermion (diamonds), overlap TP-HF (open circles) and the overlap SO-HF (little boxes) compared to the continuum (solid line).

# Perturbative chiral correction

- overlap procedure is expensive  $(A^\dagger A)^{-\frac{1}{2}}$
- consider approximation method
- assume that  $D_0$  is close to GW fermion  $\sim$  HF

$$D_{ov} = \mu \left[ 1 - \frac{A}{\sqrt{A^\dagger A}} \right]$$

$$A = \mu - D_0$$

$$\begin{aligned} \varepsilon &= A^\dagger A - \mu^2 \\ &= (\mu - D_0)^\dagger (\mu - D_0) - \mu^2 \\ &= (\mu - \gamma_5 D_0 \gamma_5) (\mu - D_0) - \mu^2 \\ &= -\mu D_0 - \mu \gamma_5 D_0 \gamma_5 + \gamma_5 D_0 \gamma_5 D_0 \\ &= -\mu \gamma_5 [\{D_0, \gamma_5\} - 2D_0 \gamma_5 D_0] \end{aligned}$$

$$D_{ov} \simeq D_{pcp} = \mu - AY$$

$$Y = \frac{1}{2} \left[ 3 - \frac{1}{\mu^2} A^\dagger A \right]$$

$$\{D_{pcp}, \gamma_5\} = D_{pcp} \gamma_5 D_{pcp} + \mathcal{O}(\varepsilon)$$

$$Y = \frac{1}{8} \left[ 15 - \frac{10}{\mu^2} A^\dagger A + \frac{3}{\mu^4} (A^\dagger A)^2 \right]$$

# Spectrum (PCP)

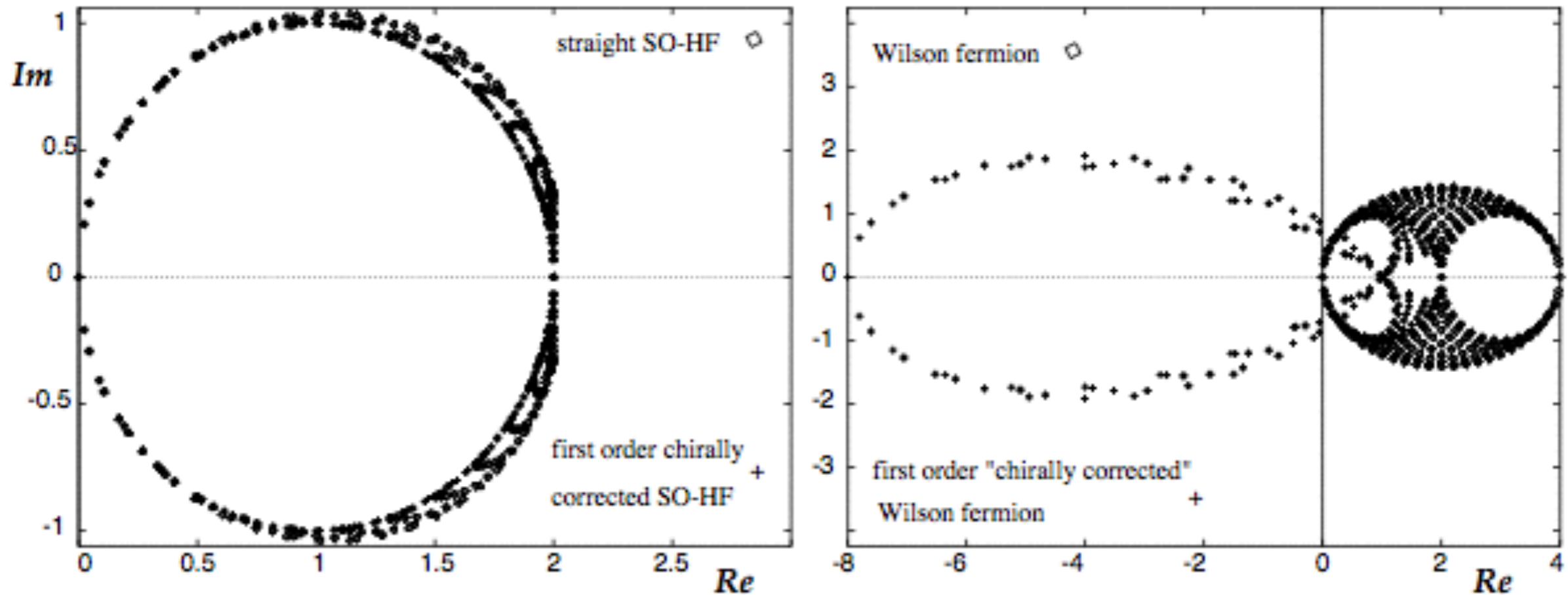


Figure 16: *The initial and the first order chirally corrected free spectrum (using  $\mu = 1$ ) for the SO-HF (left) and for the Wilson fermion (right) on a  $30 \times 30$  lattice.*

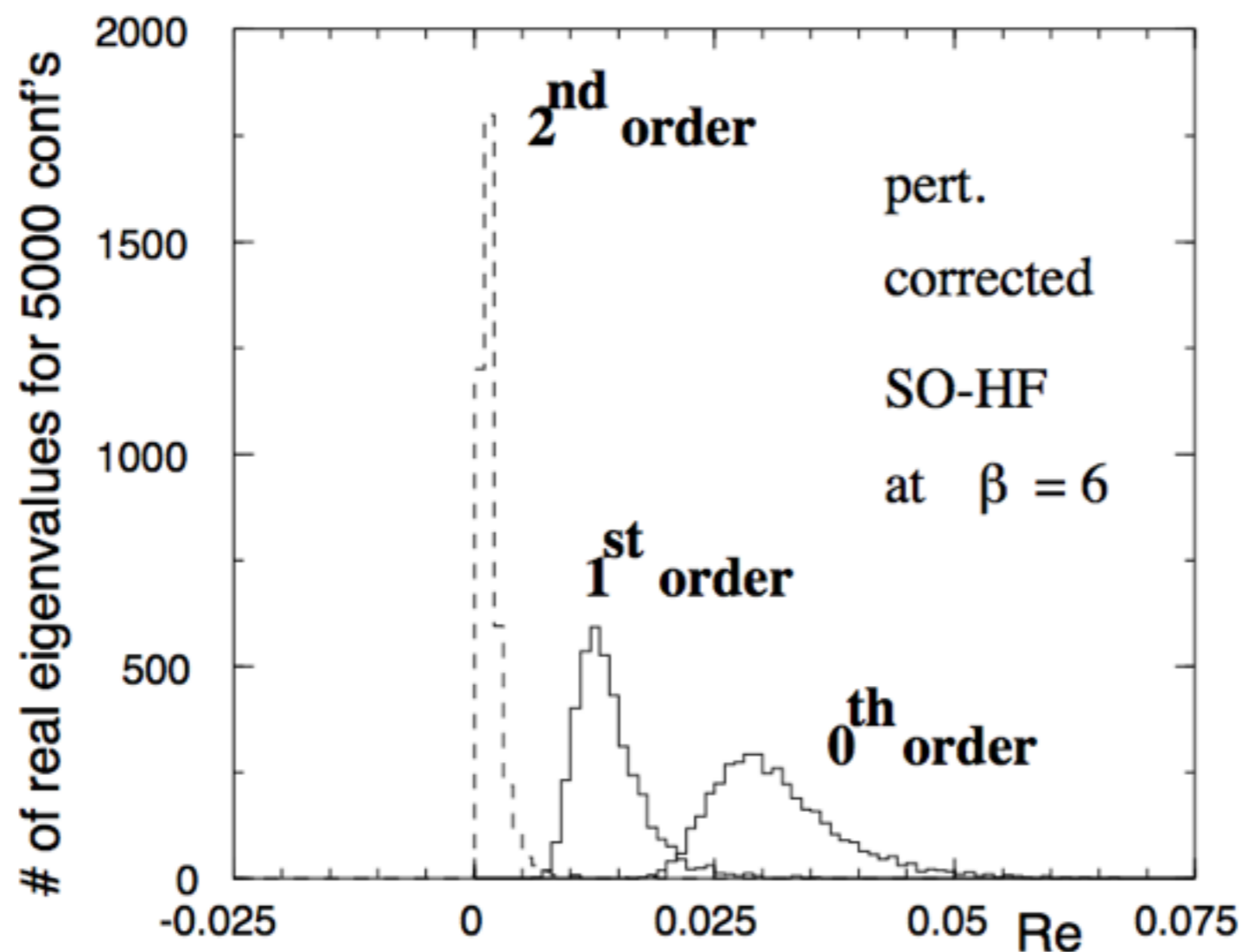


Figure 6. *Histogram of the small real eigenvalues of  $D_{p\chi c}$  – based on  $D_0 = D_{SO-HF}$  – showing that the mass renormalization vanishes quickly under perturbative chiral correction.*



# Summary

- HF actions have good properties, but deviate from GWR as increasing coupling.
- overlap formula reduces deviations ,but expensive
- If start from HF, one can use perturbative chiral correction instead of exact overlap.

# dispersion relation (free)

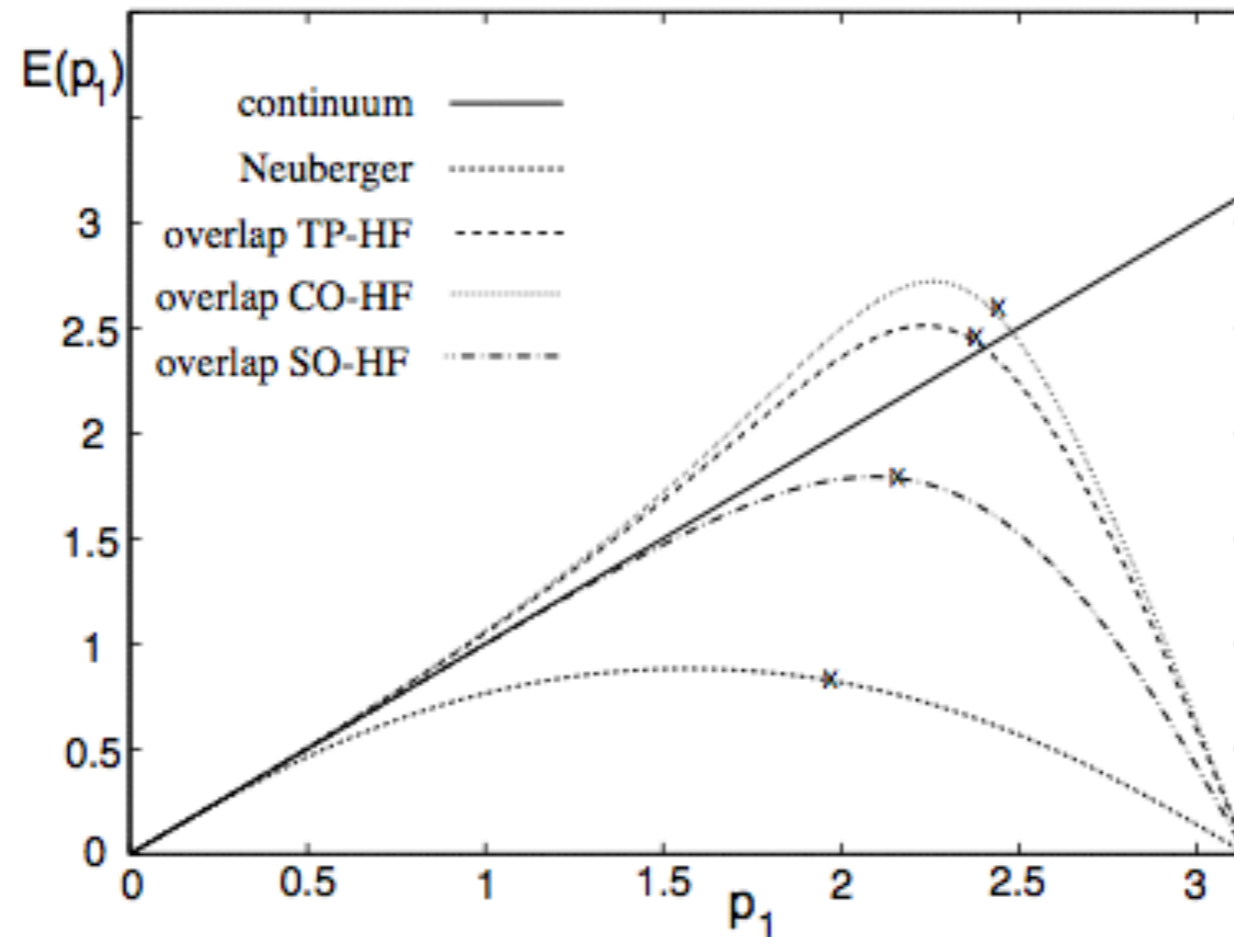


Figure 8: The free dispersion relation for the Neuberger fermion (inserting  $D_0 = D_W$  in the overlap formula) and for various improved overlap fermion (inserting  $D_0 = D_{HF}$ ). We mark the end-points of the curves for the mass parameter  $\mu = 1$ .